# **APPENDIX C-1**

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#### **JOINT TRIAL EXHIBIT LIST**

United States District Court For the Northern District of California (San Jose Division)

Finjan, Inc. v. Blue Coat Systems, LLC

Trial Date: October 30, 2017

Case No. 15-cv-03295-BLF

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3001	FINJAN-BLCT 000001- 17; FINJAN-BLCT 068537; FINJAN-BLCT 0498236-37	U.S. Patent No. 6,154,844 with Certificates of Correction and Ex Parte Reexamination Certificate	11/28/2000		
3002	FINJAN-BLCT 000228- 38	U.S. Patent No. 6,965,968	11/15/2005		
3003	FINJAN-BLCT 000386- 97	U.S. Patent No. 7,418,731	08/26/2008		
3004	FINJAN-BLCT 000621- 45; FINJAN-BLCT 498233-35	U.S. Patent No. 8,079,086 with Ex Parte Reexamination Certificate	12/13/2011		
3005	FINJAN-BLCT 001181- 202	U.S. Patent No. 8,225,408	07/17/2012		
3006	FINJAN-BLCT 002548- 75	U.S. Patent No. 8,677,494	03/18/2014		

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 3 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3007	FINJAN-BLCT 005871- 905	U.S. Patent No. 9,189,621	11/17/2015		
3008	FINJAN-BLCT 007735- 71	U.S. Patent No. 9,219,755	12/22/2015		
3009	FINJAN-BLCT 000018- 227	File History for U.S. Patent No. 6,154,844			
3010	FINJAN-BLCT 000239- 385	File History for U.S. Patent No. 6,965,968			
3011	FINJAN-BLCT 000398- 620	File History for U.S. Patent No. 7,418,731			
3012	FINJAN-BLCT 000646- 1180	File History for U.S. Patent No. 8,079,086			
3013	FINJAN-BLCT 001203- 2281	File History for U.S. Patent No. 8,225,408			
3014	FINJAN-BLCT 002576- 3537	File History for U.S. Patent No. 8,677,494			
3015	FINJAN-BLCT 005906- 7734	File History for U.S. Patent No. 9,189,621			

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 4 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3016	FINJAN-BLCT 007772- 9441	File History for U.S. Patent No. 9,219,755			
3017	FINJAN-BLCT 005476- 870	File History for U.S. Patent No. 9,141,786			
3018	FINJAN-BC 000228-45	U.S. Patent No. 6,804,780	10/12/2004		
3019	FINJAN-BLCT 028840- 54	U.S. Patent No. 6,167,520 with Certificate of Correction and Ex Parte Reexamination Certificate	12/26/2000		
3020	FINJAN-BC 093004-27	U.S. Patent No. 6,092,194 with Ex Parte Reexamination Certificate	7/18/2000		
3021	FINJAN-BC 109538-96	Provision Application No. 60/030,639 File History	11/08/1996		
3022	FINJAN-BC 047116-34	U.S. Provisional Application No. 60/205,591	5/17/2000		
3023	FINJAN-BC 000246-402	File History for U.S. Patent 6,804,780			
3024	BC0057457 - 530	Norman Shark – Evaluation Guide	11/00/2013	BC I-Runald Ex. 8	
3025	BC0064154-719	Manual – Blue Coat Systems ProxySG Appliance Content Policy Language Reference Version SGOS 6.5	06/00/2013		

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 5 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3026	BC0067262 – 380	PowerPoint – Blue Coat Technical Briefing	6/3/2013	BC I-Harrison Ex. 4	
3027	BC0162048 - 117	Manual – Blue Coat Malware Analysis Appliance v. 4.1.1, Web User Guide	5/19/2014	BC I-Runald Ex. 3	
3028	BC0183470-72	Blue Coat Competitive Brief – Blue Coat SG vs. Finjan Vital Security Suite	11/00/2006	BC I-Reynaud Ex. 20; BC I-Schoenfeld Ex. 23	
3029	BC0183557-59	Blue Coat ProxyAV & Finjan Solutions compared	00/00/0000	BC I-Schoenfeld Ex. 26	
3030	BC0189143-77	Gartner – Magic Quadrant for Secure Web Gateway by Peter Firstbrook and Lawrence Orans	09/11/2008		
3031	BC0193808-46	Blue Coat Presentation – McAfee / Secure Computing Attack Pack v1.4 by Brian Shen and Jonathan Andresen	12/00/2009	BC I-Chiu Ex. 7; BC I-Schoenfeld Ex. 24	
3032	BC0204306 - 07	Blue Coat Solution Summary: "Enterprise At-A-Glance Sales Guide: Blue Coat Malware Analysis Appliance"	00/00/2014	BC I-Reynaud Ex. 15	
3033	BC0204498 - 524	Blue Coat presentation – Malware Analysis Appliance Overview by Karthik Ramakrishnan	00/00/2013	BC I-Runald Ex. 13	

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 6 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3034	BC0206683 - 94	PowerPoint - Blue Coat Supply Chain Overview	5/00/2014	BC I-Reynaud Ex. 5; BC I-Schoenfeld Ex. 12	
3035	BC0334703-05	Document – Blue Coat Systems Non-GAAP Income Statement Annual Plans FY2008_FY2012 (dollars and thousands)	00/00/2008 – 00/00/2012		
3036	BC2-0000001-604	Blue Coat Advanced Secure Gateway - Content Policy Language Reference, Version 6.6.x	12/00/2015	Sorgic Ex. 7; Tomic Ex. 2	
3037	BC2-0000605-2542	Blue Coat Advanced Secure Gateway - Proxy Administration Guide, Version 6.6.3.x	12/00/2015	Sorgic Ex. 6; Tomic Ex. 1	
3038	BC2-0003251-56	Blue Coat White Paper - Defeating Next- Generation Malware with Next-Generation Analysis	00/00/2014	T. Larsen Ex. 2; Rohan Ex. 2	
3039	BC2-0003319-52	Blue Coat Manual – Blue Coat Malware Analysis Appliance 4.2.1 API Guide Remote API and Pub-Sub API	12/10/2014		
3040	BC2-0005494-96	Blue Coat Datasheet – Blue Coat ProxySG S200/S400/S500 Complete Web Security – with Superior Performance	00/00/2016		
3041	BC2-0020356-2386	Blue Coat Manual – SGOS Administration Guide SGOS 6.6.x	12/00/2015		

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 7 of 16

## **APPENDIX C-1**

JTX No.	<b>Bates Range</b>	Description	Date	Deposition Exhibit No.	For Court's Use
3042	BC2-0027065-67	Blue Coat Data Sheet - Web Security Service - Comprehensive Web Security as a Service	00/00/2015	Maxted Ex. 2	
3043	BC2-0031071-121	Blue Coat Presentation – Overview Webpulse 2.0 and the Global Intelligence Network	00/00/2015		
3044	BC2-0035506	Excel Spreadsheet – Blue Coat Corporate Price Book Worldwide Products & Services (Native Version)	08/00/2015		
3045	BC2-0035689	Document – Blue Coat, Inc. Statements of Operations – GAAP Fiscal Year 2008-2016 (\$000)	00/00/2016		
3046	BC2-0036929-50	Gartner Report - Magic Quadrant for Secure Web Gateways by Lawrence Orans and Peter Firstbrook	05/28/2015	Chiu Ex. 4	
3047	BC2-0036951-74	Gartner – Magic Quadrant for Secure Web Gateways, by Lawrence Orans and Peter Firstbrook	06/06/2016		
3048	BC2-0038294-311	Blue Coat presentation - CAS, MA, and MTD -Architectural Overview by Kevin Rohan and T. Larsen	00/00/2016	T. Larsen Ex. 5; Rohan Ex. 14; Sivertson Ex. 10	

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 8 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3049	BC2-0038331-423	Blue Coat Content Analysis Integration Guide - Integrating Content Analysis with other Blue Coat Products: ProxySG and Malware Analysis, Version 1.3.7.x	10/20/2016	T. Larsen Ex. 4; Rohan Ex. 3; Sorgic Ex. 11	
3050	BC2-0038529-600	Symantec presentation - Blue Coat Global Intelligence Network (GIN) / WebPulse by Tyler Anderson	09/00/2016	Ahlander Ex. 3; Anderson Ex. 1; Harrison Ex. 12; Runald Ex. 13	
3051	BC2-0040070-77	Email from Tyler Anderson to C. Larsen RE: [GIN] – FAQ on GIN/WebPulse	05/10/2016	Anderson Ex. 3	
3052	BC2-0238343-400	Email from Wood to Harrison re Current presentation with attachment	06/28/2016	Harrison Ex. 7	
3053	BC0207223-59	IDC Brochure - Market Analysis: Worldwide Specialized Threat Analysis and Protection 2013-2017 Forecast and 2012 Vendor Shares, by John Grady, Charles Kolodgy and Phil Hochmuth	08/00/2013		
3054	BC2-0748585-638	Email from Chris Edwards to Steven Schoenfeld, et al. RE: Slides, with attachment	08/11/2015	Rohan Ex. 10	
3055	BC2-0874154-96	Email from Mark Urban to C. Larsen Re: Web Threat Protection in Main SWG Customer Presentation, with attachment	05/10/2016	Runald Ex. 6	

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 9 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3056	BC2-1640090-114	Email from Steven Schoenfeld to Mike Fey, et al. RE: Selling decks, with attachment	04/13/2015	Schoenfeld Ex. 6	
3057	BC2-1884286-91	Email from Michael Fonnesbeck to Ron Frederick, John Ahlander, Zach Sivertson RE: CAS and MTD Performance, with attachments	11/10/2015	T. Larsen Ex. 22	
3058	BC2-1884308	Blue Coat Excel Spreadsheet – CAS Server - ICAP Objects -Sandboxing (Native Version)	00/00/0000		
3059	BC2-1884309-12	Email from Todd Wamboldt to Zachary Sivertson, et al. RE: CAS snapshots	10/17/2016	T. Larsen Ex. 24	
3060	BC2-1884313-21	Blue Coat Wiki - Cookie2 – Additional Information Returned from DRTR	02/09/2017	Ahlander Ex. 9; Harrison Ex. 28	
3061	BC2-1884336	Blue Coat Excel spreadsheet - Corporate Price Book Worldwide, Products and Services (ProxySG-PR and MS) (Native Version)	02/00/2017		
3062	BC2-1884351	Map - Blue Coat Global WSS Data Centers	00/00/2015	Sorgic Ex. 3	
3063	BC2-1884352	Blue Coat Excel spreadsheet – Web Security Services (WSS) Data Pod Tables (Native Version)	00/00/0000		

# Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 10 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3064	BC2-1884404-09	Blue Coat webpage - FRS Protocol V1 by Neal Sorensen	01/04/2017	Runald Ex. 2	
3065	BC2-1884414-579	Blue Coat source code – list of YARA rules in DRTR	02/15/2017	Runald Ex. 11	
3066	BC2-1884580-87	Blue Coat screenshot – Security Labs - BCWF Trender	00/00/2017	Runald Ex. 22	
3067	BC2-1888572	Blue Coat Excel spreadsheet – Product Revenue (2013-2017) (Native Version)	00/00/0000		
3068	BC2-1888573	Blue Coat Excel Spreadsheet - Blue Coat FY2013- (May-Dec FY17) - Total Revenue GAAP, Non-GAAP, Finjan Product NG (2013-2017) (Native Version)	00/00/2017		
3069	BC2-1888574-77	Blue Coat Excel spreadsheet - Product Revenue Annual FY2007-FY2016	00/00/2016	Dildine Ex. 7	
3070	BC-2-1888578	Blue Coat Excel spreadsheet – Blue Coat FY2013 - (May-Dec FY17) - Product - Subscription Non-GAAP - Finjan Products – North America Government owned (2013- 2017) (Native Version)	00/00/2017		
3071	BC2-1888579	Blue Coat Excel Spreadsheet – Blue Coat Product Income Statement (Fiscal year May 2015 – April 2016) (Native Version)	00/00/0000		

# Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 11 of 16

## **APPENDIX C-1**

JTX No.	<b>Bates Range</b>	Description	Date	Deposition Exhibit No.	For Court's Use
3072	BC2-1888580-84	Blue Coat presentation - Product Line Summary	08/18/2016	Dildine Ex. 13	
3073	BC2-1895233	Excel spreadsheet – Blue Coat product and subscription revenue (Native Version)	00/00/0000		
3074	BC2-1895234	Blue Coat excel spreadsheet – product revenue and standard COGs (Fiscal year 2013 – 2017) (Native Version)	00/00/2017		
3075	FINJAN-BC 027129-57	Patent License Agreement between M86 Security, Inc. M86 Americas, Inc. and Finjan, Inc.	11/02/2009		
3076	FINJAN-BC 030456-81; FINJAN-BC 030492	Amended and Restated of the Patent License Agreement between FI Delaware, Inc., Trustwave Holdings, Inc. and Exhibit thereto	03/00/2012		
3077		Blue Coat solution brief - Content Analysis - Block Known Threats with Advanced Throat Protection at the Gateway	00/00/2016	Chiu Ex. 5	
3078		Symantec datasheet - Content Analysis S200/S400/S500 - Gain advanced malware protection at the web gateway	00/00/2016	T. Larsen Ex. 7	
3079	BC2-0417549	Blue Coat BCIS Diagram	00/00/0000	Runald Ex. 3	

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 12 of 16

## **APPENDIX C-1**

JTX No.	<b>Bates Range</b>	Description	Date	Deposition Exhibit No.	For Court's Use
3080		Blue Coat Datasheet - Blue Coat Malware Analysis S400/S500 – Respond to Malicious Threats that Elude Traditional Defenses	00/00/2016	Wood Ex. 2	
3081		Blue Coat - Security Analytics 7.2.2 Administration and Central Manager Guide	01/03/2017	Wood Ex. 5	
3082	BC0208804-914	Blue Coat Systems, Inc. Form 10-K (fiscal year ended April 30, 2011)	06/08/2011	BC I-Dildine Ex. 3	
3083		Blue Coat DataSheet – Complete Web Security – with Superior Performance - Blue Coat ProxySG S200/S400/S500	00/00/2014	BC I-Schoenfeld Ex. 5	
3084		Blue Coat DataSheet- Hybrid Sandboxing for Detecting and Analyzing Advanced and Unknown Malware	00/00/2014	BC I-Schoenfeld Ex. 11	
3085	BC0007799-804	PowerPoint – BCWF Product Roadmap	4/6/2007	BC I-Ahlander Ex. 19	
3086	BC0023390-408	Blue Coat manual - Software Requirements Specification, Norman Malware Analyzer,— Next Generation V1, Version 2.0 by Matt Allen	04/12/2011	BC I-Runald Ex. 12; Rohan Ex. 13	
3087	BC0205033-72	Blue Coat Presentation – MAA Product Overview by Kevin Rohan	01/14/2014	BC I-Runald Ex. 5	

# Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 13 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3088	BC2-1021584-91	Blue Coat presentation - Primer - Blue Coat Security Analytics by Bryan Cardoza	00/00/2016	Harrison Ex. 2	
3089	BC2 _SC_000571-76	Blue Coat source code		T. Larsen Ex. 14	
3090	BC2_SC_000001-55	Blue Coat source code			
3091	BC2_SC_000105-34	Blue Coat source code			
3092	BC2_SC_000207-304	Blue Coat source code			
3093	BC2_SC_000322-27	Blue Coat source code		Runald Ex. 17	
3094	BC2_SC_000577-90	Blue Coat source code		T. Larsen Ex. 34	
3095	BC2_SC_000859-869	Blue Coat source code			
3096	BC2_SC_000913-46	Blue Coat source code			
3097	BC2_SC_001466-94	Blue Coat source code		T. Larsen Ex. 31	
3098	BC2_SC_001515-30	Blue Coat source code			
3099	BC2_SC_001531-40	Blue Coat source code			
3100	BC2_SC_001578-81	Blue Coat source code		T. Larsen Ex. 28	

# Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 14 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3101	BC2_SC_001622-49	Blue Coat source code		Nova Ex. 6	
3102	BC2_SC_001684-87	Blue Coat source code		Maxted Ex. 13; Nova Ex. 8	
3103	BC2_SC_001766-92	Blue Coat source code		Runald Ex. 14	
3104	BC2_SC_001800-18	Blue Coat source code			
3105	BC2_SC_002165-89	Blue Coat source code			
3106	BC2_SC_002549-67	Blue Coat source code			
3107	BC2_SC_002769-78	Blue Coat source code			
3108	BC2_SC_002779-91	Blue Coat source code			
3109	BC2_SC_003444-508	Blue Coat source code			
3110	BC2_SC_003509-18	Blue Coat source code			
3111	BC2_SC_003535-49	Blue Coat source code			
3112	BC2_SC_003550-62	Blue Coat source code			
3113	BC2_SC_003571-74	Blue Coat source code			

## Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 15 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3114	BC2_SC_003575-80	Blue Coat source code			
3115	BC2_SC_003581-85	Blue Coat source code			
3116	BC2_SC_003586-90	Blue Coat source code			
3117	BC2_SC_003745-77	Blue Coat source code			
3118	BC2_SC_004000-35	Blue Coat source code			
3119	BC2_SC_004038-410	Blue Coat source code			
3120	BC2-1414681-82	Email from Michael Rosen to Steven Schoenfeld RE: MAA Technical Enablement Program/Advanced SE Training Curriculum, with attachments	12/30/2013	Andersen Ex. 1; Birkeland Ex. 3	
	BC2-1414695-734				
	BC2-1414750-87				
	BC2-1414833-58				
	BC2-1414871-87				
	BC2-1414888-98				
	BC2-1414907-30				
	BC2-1414989-5001				

# Case 5:15-cv-03295-BLF Document 289-3 Filed 09/21/17 Page 16 of 16

## **APPENDIX C-1**

JTX No.	Bates Range	Description	Date	Deposition Exhibit No.	For Court's Use
3121	BC2-0030139-67	Blue Coat presentation - One Box Solution (SG+CAS) by Steve House, Tim Chiu and Allan Lewis	3/19/2014	Sorgic Ex. 5	
3122	BC2-1414681-5069	Email from Rosen to Schoenfeld re MAA Technical Enablement Program / Advanced SE Training Curriculum with attachments	12/30/2013		